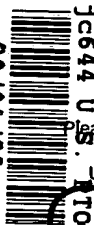


03/31/00



PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

39915

Total Pages

27

First Named Inventor or Application Identifier

Allen TIEN

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages **20**]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets **2**]
4. Oath or Declaration [Total Pages **2**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☒ Small Entity Statement filed in prior application, Statement(s) ☐ Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. **1****18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

001609

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	Lance G. Johnson Roylance, Abrams, Berdo & Goodman, L.L.P.			
ADDRESS	1300 19 <sup>th</sup> Street, N.W. Suite 600			
CITY	Washington	STATE	D.C.	ZIP CODE 20036
COUNTRY	United States	TELEPHONE	(202) 659-9076	FAX (202) 659-9344

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

jc625 U.S. PTO  
09/539772  
03/31/00

# FEE TRANSMITTAL FORM

Attorney Docket No.: **39915**

ASSISTANT COMMISSIONER OF PATENTS  
**BOX: PATENT APPLICATION**  
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of

Inventor: **ALLEN TIEN**

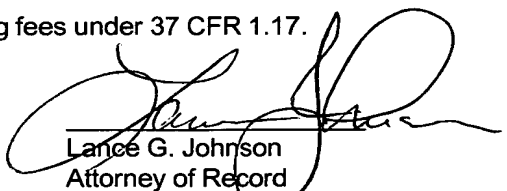
For: **SYSTEM AND METHOD FOR INDEXING TEST EVENTS**

The filing fee for filing this patent application has been calculated as follows:

		(Col. 1)	(Col. 2)	SMALL ENTITY		OR OR	OTHER THAN A SMALL ENTITY	
FOR:		NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE					\$345.00			\$690.00
TOTAL		13	- 20=	x 9	\$		x 18 =	\$0.00
INDEP		2	- 3=	x 39	\$		x 78 =	\$0.00
<input type="checkbox"/> MULTIPLE . DEPENDENT CLAIMS				+ 130	\$		+ 260 =	\$0.00
If the difference in Col. 1 is less than zero, enter "0" in Col. 2				TOTA	\$345.00		TOTAL	\$0.00

- ☐ This application is being filed without the filing fee pursuant to 37 C.F.R. § 1.53(f).
- ☒ A check in the amount of \$ **345.00** to cover the above calculated filing fee and ☐ \$40.00 to cover the Assignment recording fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
- ☒ Any additional excess claim fees under 37 CFR 1.16.
- ☒ Any additional patent application processing fees under 37 CFR 1.17.

Dated: March 31, 2000

  
Lance G. Johnson  
Attorney of Record  
Reg. No.32,531

Roylance, Abrams, Berdo & Goodman, L.L.P.  
1300 19<sup>th</sup> Street, N.W. - Suite 600  
Washington, D.C. 20036  
(202) 659-9076

39915

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:  
Allen TIEN

Filing Date: March 31, 2000

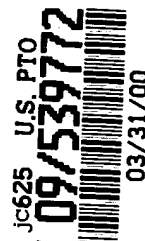
Box: Patent Application

Serial No. NEW

Examiner:

For: SYSTEM AND METHOD FOR  
INDEXING TEST EVENTS

Group Art Unit:



Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a change of address in connection with the above-identified application:

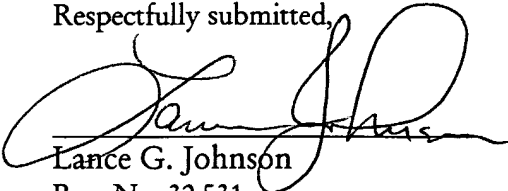
- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

The fee has been calculated as shown below:

				<u>SMALL ENTITY</u>		<u>OTHER THAN A SMALL ENTITY</u>	
CLAIMS							
REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	ADDIT. FEE	RATE	ADDIT. FEE
TOTAL 0	- 20 =	0		x9 =	\$	x18 =	\$
INDEP 0	- 3 =	0		X39 =	\$	X78 =	\$
<input type="checkbox"/> 1ST PRESENTATION OF MULT. DEP. CLAIM				+130 =	\$	+260 =	\$
				TOTAL \$		TOTAL \$0.00	

- ☐ Applicant petitions for an extension of \_\_\_-month to respond and submits herewith the fee of \$ \_\_\_\_.
- ☐ Please charge my Deposit Account No. 18-2220 in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is attached.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
- ☒ Any additional excess claim fees under 37 CFR 1.16.
- ☒ Any additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Dated: 31 MARCH 2000
  
Lance G. Johnson

Reg. No. 32,531

1300 19<sup>th</sup> Street, N.W. - Suite 600

Washington, D.C. 20036

(202) 659-9076

39915

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:	:	
Allen TIEN	:	
	:	
Filing March 31, 2000	:	Box: Patent Application
	:	
Serial No. NEW	:	Examiner:
	:	
For: SYSTEM AND METHOD	:	Group Art Unit:
FOR INDEXING TEST EVENTS	:	

CHANGE OF ADDRESS

Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

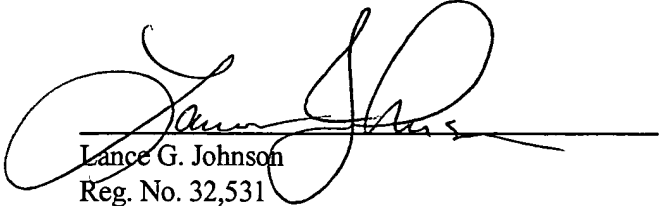
Please forward all future correspondence and actions relating to the above-identified patent application to the following address:

**Roylance, Abrams, Berdo & Goodman LLP**  
1300 19<sup>th</sup> Street, N.W., Suite 600  
Washington, DC 20036  
(202) 659-9076

Thank you for your prompt attention to this matter.

Respectfully submitted,

Dated: March 31, 2000

  
Lance G. Johnson  
Reg. No. 32,531